JAP15 Rec'd PCT/PTO 26 MAY 2006

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 710.1049

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/EP2004/013364 25 November 2004 26 November 2003 TITLE OF INVENTION METHOD AND DEVICE FOR CARRYING OUT A BRAKING PROCESS Stephan REICHLE Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🔲 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Datasheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Letter re. Priority; Express Mail Label EV 568 476 627 US

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary-depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP9 Rec'd PCT/PTO 26 MAY 2006

PTO-1390 (Rev. 2-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

The following fies have been submitted  21.	U.S. APPLICAT	U.S. APPLICATION NO. (14 Known - S et 37 C.F.R. 169) INTERNATIONAL APPLICATION N PCT/EP2004/013364					ATTORNEY'S DOCK ET NO. 710.1049			
### Examination fee ### International preliminary examination report prepared by USPTO ands all daims satisfy provisions of PCT Article 33(1):(4)							CAI	LCULATIONS	PTO USE ONLY	
If Informational preliminary examination report prepared by USPTO ands all claims satisty provisions of PCT Article 33(1);(4)	21. 🛛							00.00		
Search fee (37 CFR 1.45(a)(2)) has been paid on the international application to the USPTO as an international Searching Authority	If International preliminary examination report prepared by USPTO ands all claims satisfy provisions of PCT Article 333(1)-(4)							\$ 200.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.	23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							00.00		
sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 serves of paper or fraction thereof.  100 = 1/50 =	,					· · · · · · · · · · · · · · · · · ·	\$ 9	00.00		
Total Claims   Total OF ABOVE CALCULATIONS   Source   S	sequence listing or computer program listing filed in an electronic medium).									
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))	Total Sheets									
earliest claimed priority date (37 CFR 1.492(e))							\$			
Total Claims  7 - 20 = 0	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))+							<u> </u>		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	CLAIMS	NUM	BER FILED	NUMBER EXTRA		RATE				
MULTIPLE DEPENDENT CLAIM(S) (if applicable)  TOTAL OF ABOVE CALCULATIONS = \$900.00  Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by ½.  SUBTOTAL = \$900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = 900.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$40.00  TOTAL FEES ENCLOSED = 900.00  Amount to be refunded: Amount to be refunded: Amount to be refunded: Amount to be charged:  TOTAL STATE OF THE AMOUNT OF THE AMOU	Total Claims		7 - 20 =	0	х	\$50 =	\$			
TOTAL OF ABOVE CALCULATIONS = \$900.00  Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by ½.  SUBTOTAL = \$900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = 900.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$40.00  TOTAL FEES ENCLOSED = 900.00  Amount to be refunded:  Amount to be charged:  a.  A check in the amount of \$940.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$0.0552. A duplicate copy of this sheet is enclosed.  d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be Included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SIGNATURE William C. Gehris NAME  38,156	Independent C	laims	3 - 3 =	0	х	\$200 =	\$		,	
Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by ½.  SUBTOTAL \$ 900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = 900.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$40.00  TOTAL FEES ENCLOSED = 900.00  Amount to be refunded: Amount to be refunded: Amount to be refunded: Amount to be charged:  a. A check in the amount of \$940.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$60-0552. A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filled and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018  (212)-736-1940  Dated: May 26, 2006	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360									
SUBTOTAL = \$ 900.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = 900.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property + \$  TOTAL FEES ENCLOSED = 900.00  Amount to be refunded: Amount to be refunded: Amount to be refunded: Amount to be charged: \$  a. A check in the amount of \$940.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filled and granted to restore the application to pending status.  SIGNATURE  William C. Gehris  NAME  38,156								\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).    TOTAL NATIONAL FEE	<del>_</del> ··							\$		
the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = 900.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								\$ 900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$40.00  TOTAL FEES ENCLOSED = 900.00  Amount to be refunded: Amount to be charged: \$  a.  A check in the amount of \$940.00 to cover the above fees is enclosed.  b.  Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.  d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be Included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$		
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$40.00  TOTAL FEES ENCLOSED = \$00.00  Amount to be refunded: Amount to be refunded: Amount to be charged: \$  a. A check in the amount of \$940.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006  38,156								900.00		
Amount to be refunded:  Amount to be charged:  Amount to be charged:  \$  a. A check in the amount of \$940.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filled and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006  38,156								\$ 40.00		
a. A check in the amount of \$940.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006  38,156	TOTAL FEES ENCLOSED =							900.00		
a. A check in the amount of \$940.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC  485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006  SIGNATURE  William C. Gehris  NAME  38,156									\$	
b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  William C. Gehris NAME  Dated: May 26, 2006									\$	
should not be Included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC  485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006  38,156	b. Please A duplic	charge my Depos cate copy of this s mmissioner is he	sit Account No. theet is enclose	in the amouned.	it of \$	to cover the			verpayment to	
(b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006  SIGNATURE  William C. Gehris NAME  38,156									ard information	
Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006  SIGNATURE  William C. Gehris NAME  38,156	(b)) must be fi	led and granted	to restore the				a peti ∼	ition to revive (3	7 CFR 1.137(a) or	
485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940  Dated: May 26, 2006  William C. Gehris NAME 38,156	SEND ALL CO	RRESPONDENC	E TO:		n	$\mathcal{N}$				
(212)-736-1940 NAME  Dated: May 26, 2006 38,156	485 Seventh Avenue, 14th Floor									
Dated: May 26, 2006 38,156						J. Jeili 13		·		
				_3	8,156	ATION NUMBER				

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## TAP9 Hec'd PCT/PYO 26 MAY 2006

## Certificate of Express Mailing under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to:

> Mail Stop: PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on May 25, 2006

Date

Akil Chevalier

Typed or printed name of person signing Certificate

EV 568 476 627 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing by Express Mail, or this certificate must identify

Re.: Docket No.: 710.1049

Applicant(s): Stephan REICHLE Serial No.: To be assigned

Invention: METHOD AND DEVICE FOR CARRYING OUT A BRAKING PROCESS

Filing Date: Herewith as national phase of International Patent Application No. PCT/EP2004/013364,

filed November 25, 2004

- Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C 371 (2 pages);
- Copy of Int. Patent Application PCT/EP2004/013364 with Int. Search Report (19 pages);
- Translation of Int. Patent Application PCT/EP2004/013364 (9 pages);
- First Preliminary Amendment (8 pages);
- One (1) Sheet of Drawings;
- Copy of executed Declaration and PoA (1 page)
- Recordation Form Cover Sheet and Copy of executed assignment (3 pages);
- Application Data Sheet (2 pages);
- Letter re: Priority (1 page);
- Information Disclosure Statement with PTO-1449 (3 pages) and copies of cited references;
- Return Receipt Postcard;
- Checks in the amount of \$900.00 and \$40.00

This collection of information is required by 37 CFR 1.10. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. PEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.